

# *TENSOR NETWORK STATES AND SPHERICAL BICATEGORIES*

*Hopf Algebras and Tensor Categories*

22 January 2026



# Plan

■ **motivation :** **gapped ground states**  
of quantum many-body systems

- 👉 motivation : gapped ground states
- 👉 physics : 1-d systems : MPS  
2-d systems : PEPS  
symmetries : MPO

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**2-d systems** : **PEPS**  
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■ **(bi)categorical perspective**

■ **state-sum models with defects**

based on

[2008.11187](#) – with L. Lootens, J. Haegeman, C. Schweigert, F. Verstraete

[2207.07031](#) – with C. Galindo, D. Jaklitsch, C. Schweigert

**ongoing** – with Y. Ogata, C. Schweigert



# Motivation

## quantum many-body system :

- collection of *sites* with adjacency rules (*lattice* of atoms/molecules)
- at each site a *state space*  $\mathcal{H}$ :  
finite-dimensional vector space with non-degenerate pairing  $\mathcal{H} \otimes \mathcal{H} \rightarrow \mathbb{k}$
- total state space  $\mathcal{H}_{\text{tot}} = \mathcal{H}^{\otimes N}$  with  $N \gg 1$
- dynamics / interactions specified by a *Hamilton operator*  $H : \mathcal{H}_{\text{tot}} \rightarrow \mathcal{H}_{\text{tot}}$   
e.g. nearest-neighbour Heisenberg Hamiltonian  
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## result :

- ✚ methods for parametrizing states in a small subspace of  $\mathcal{H}_{\text{tot}}$  which e.g. give excellent approximation to the ground state

## 1-d: Spin chains

## 1-d system: spin chain

- collection of sites : along a line
- for convenience : line  $\rightsquigarrow$  circle ( “periodic boundary conditions” )  
and translationally invariant Hamilton operator

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### tool:

- auxiliary vector space  $\mathcal{V}$
- $D \times D \times d$ -tensor: numbers  $(A^j)_{p,q}$  with  $j \in \{1, 2, \dots, h = \dim(\mathcal{H})\}$  and  $p, q \in \{1, 2, \dots, D = \dim(\mathcal{V})\}$
- family of states

$$|\psi(A)\rangle = \sum_{j_1, j_2, \dots, j_N=1}^h \text{Tr}(A^{j_1} A^{j_2} \dots A^{j_N}) |j_1\rangle \otimes |j_2\rangle \dots \otimes |j_N\rangle \in \mathcal{H}_{\text{tot}}$$

with  $\{|j\rangle\}$  a basis of  $\mathcal{H}$

depending on  $D^2 h \ll h^N = \dim(\mathcal{H}_{\text{tot}})$  parameters

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graphically:

$$|\psi(A)\rangle = \begin{array}{c} \boxed{A} \text{---} \boxed{A} \text{---} \dots \text{---} \boxed{A} \\ | \quad | \quad \quad | \\ j_1 \quad j_2 \quad \dots \quad j_N \end{array}$$



**terminology:** **MPS**  $\equiv$  matrix product state

**result:**

- MPS give efficient approximation to ground states of local gapped Hamiltonians
- MPS can be easily studied numerically

**challenge:**

get a *conceptual* handle on the subspace spanned by the MPS vectors  $|\psi(A)\rangle$

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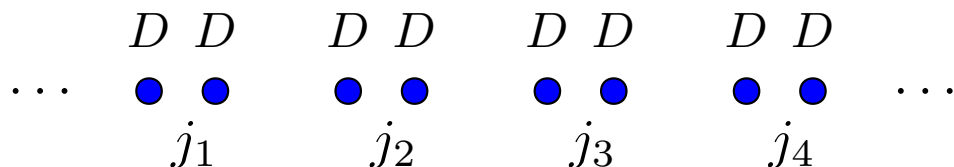
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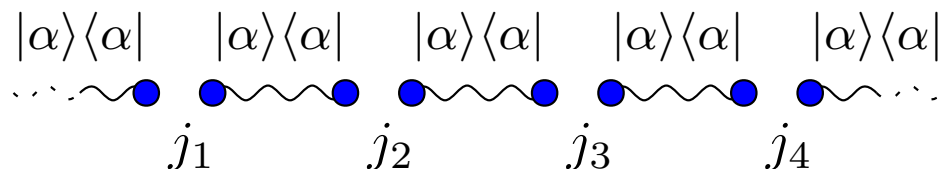
**alternative terminology:** originating from alternative construction

alternative construction :

- at each site place two  $D$ -dim degrees of freedom :



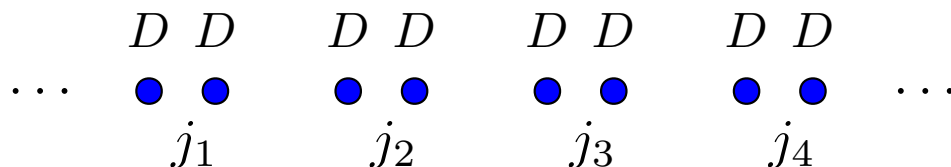
- maximally entangle* all pairs on neighboring sites :



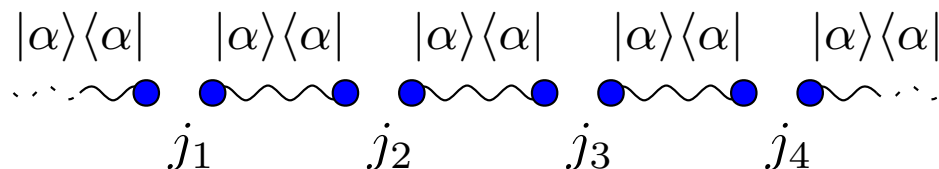
$$\text{with } |\alpha\rangle = \sum_{m=1}^D |m\rangle \otimes |m\rangle$$

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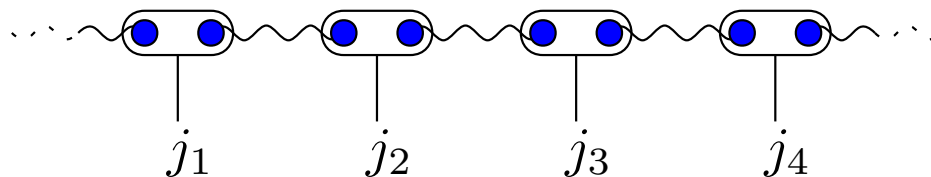
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- act on the pair at each site with the linear map  $f_A: \mathbb{C}^D \otimes \mathbb{C}^D \rightarrow \mathbb{C}^h$



$\Rightarrow$  realize the vector  $|\psi(A)\rangle$  as projected entangled pair state

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important virtue of the description as PEPS: generalizes directly to  $d > 1$

## 2-d: PEPS

## 2-d system :

- collection of sites : on a plane
- 2-d adjacency rules : each site with  $n$  nearest neighbors
- at each site physical state space  $\mathcal{H}$  &  $n$  copies of auxiliary vector space  $\mathcal{V}$



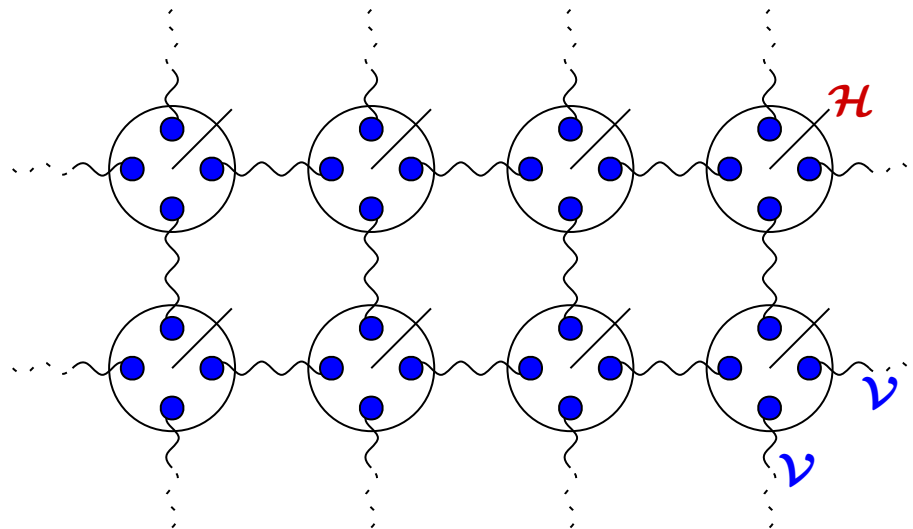
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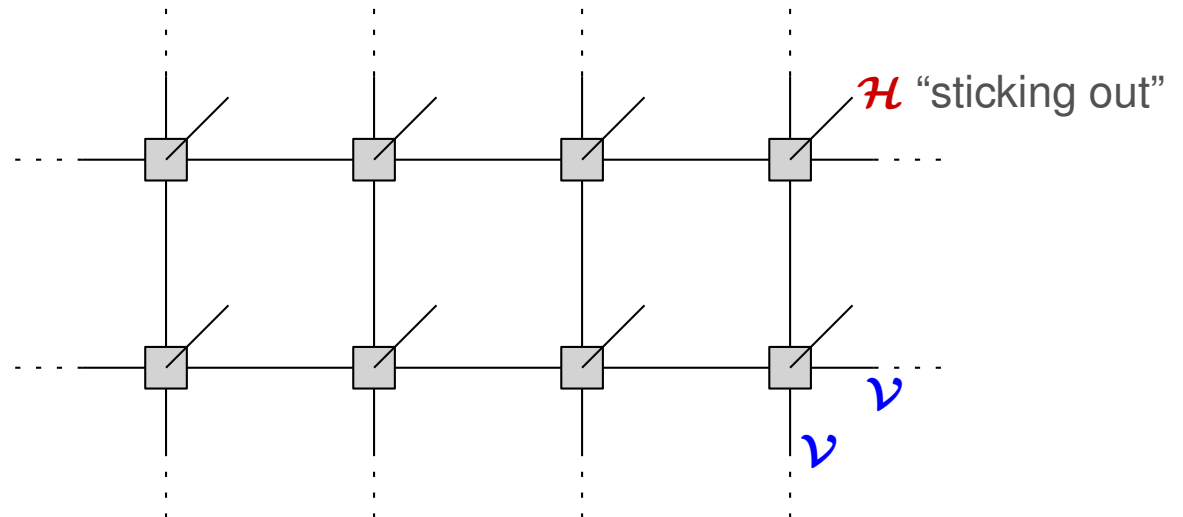
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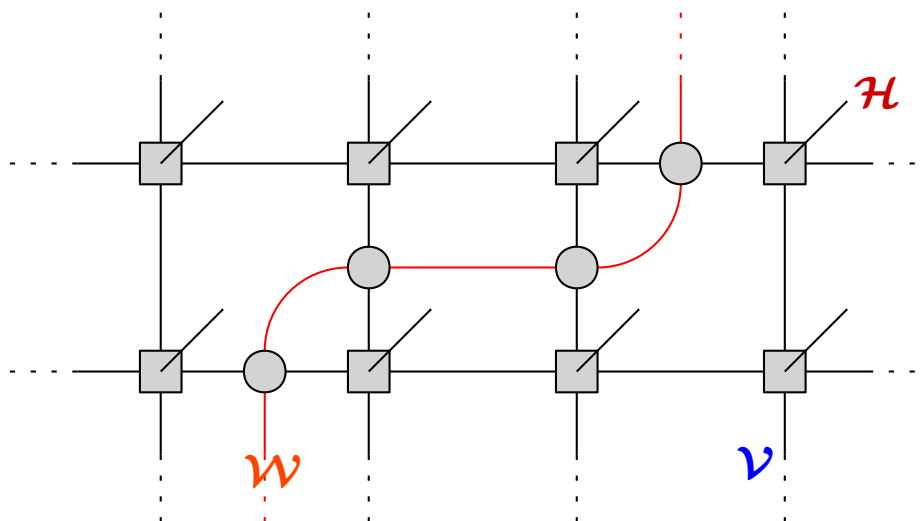
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- explaining e.g. the topology-dependence of ground-state degeneracies
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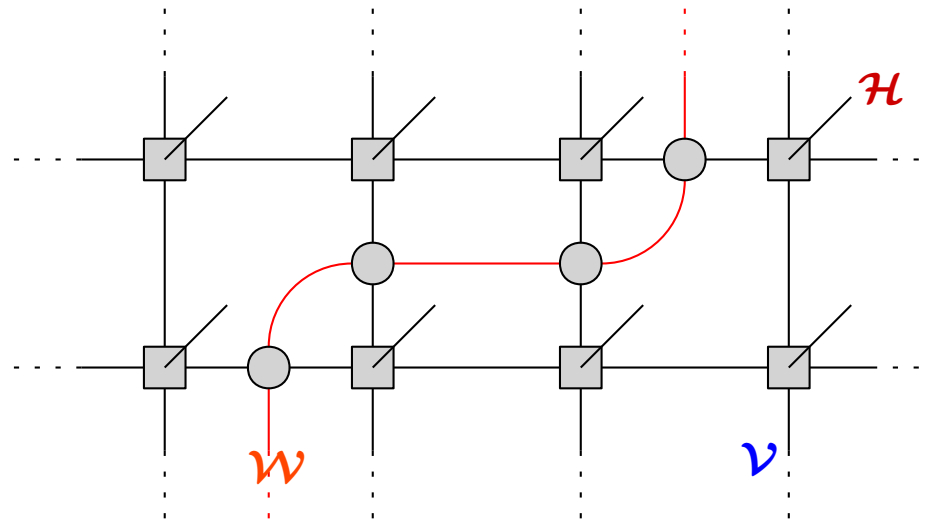
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involving a further **auxiliary space**  $\mathcal{W}$

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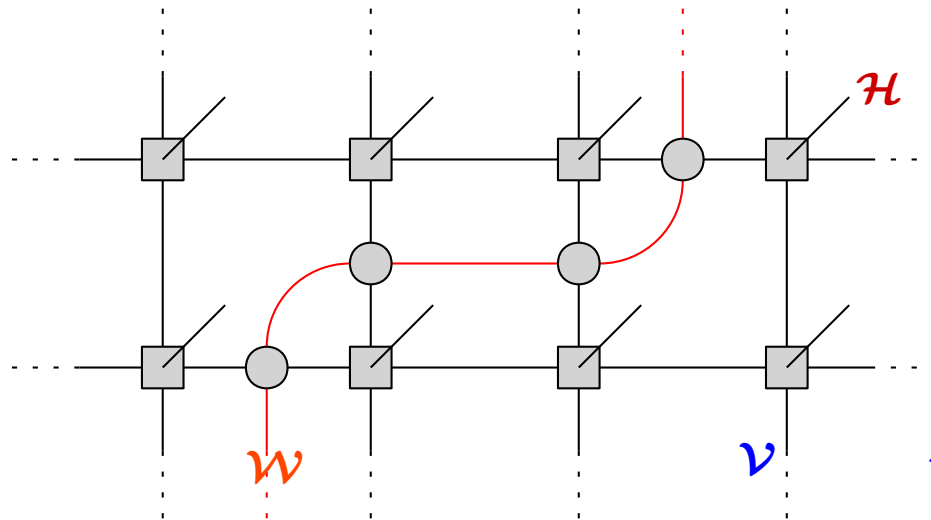
$$B_{p,q}^{\alpha,\beta}$$

with  $\alpha, \beta \in \{1, 2, \dots, \dim(\mathcal{W})\}$

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$$B_{p,q}^{\alpha,\beta} = \text{diagram of a circle with four legs: top (black arrow up), bottom (black arrow down), left (black line), and right (red arrow labeled } \mathcal{W} \text{)}$$

⇒ **task**: formalization of line defects in 2-d systems

**tool**: categories and bicategories

desirable **properties of line defects**

can carry point-like insertions  
( *defect fields* )

can be fused

are oriented & can be deformed

duality amounts to orientation reversal



desirable **properties of line defects**  $\longleftrightarrow$  **mathematical structure**

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category  $\mathcal{C}$  of defects

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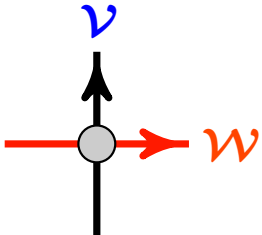
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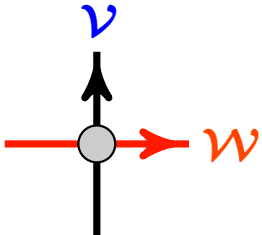
spherical fusion category  $\mathcal{C}$  of defects

## Bicategorical setup

- **MPO** tensor =   $\mathcal{V}^{\otimes 2} \otimes \mathcal{W}^{\otimes 2} \rightarrow \mathbb{C}$

- thus linear map  $B_{\mathcal{W}}(v): \mathcal{W} \rightarrow \mathcal{W}$  for any  $v \in \mathcal{V} \otimes \mathcal{V}$

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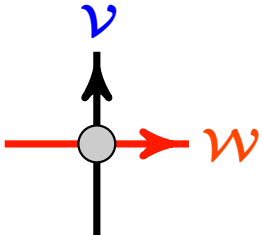
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$\Rightarrow$  decomposition  $\mathcal{W} \cong \bigoplus_{a \in I_{\mathcal{C}}} \mathcal{W}_a$

with  $I_{\mathcal{C}} = \{ \text{iso classes of simple } B_{\mathcal{W}}\text{-modules} \}$

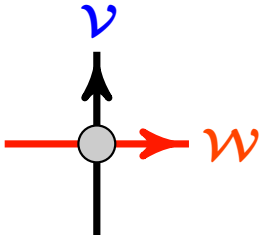
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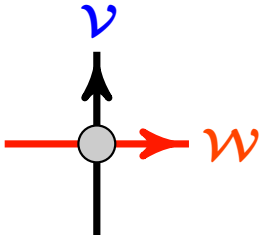
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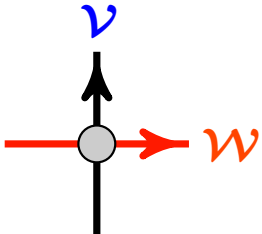
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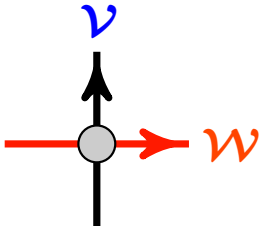
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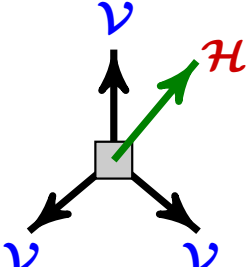
$$\Rightarrow \text{decomposition } \mathcal{V} \cong \bigoplus_{\alpha \in I_{\mathcal{D}}} \mathcal{V}_{\alpha}$$

with  $I_{\mathcal{D}} = \{ \text{iso classes of simple } B_{\mathcal{V}}\text{-modules} \}$   
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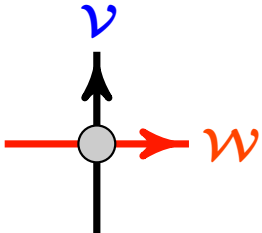
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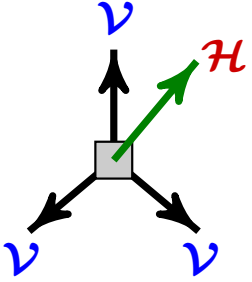
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specialized for convenience to hexagonal lattice

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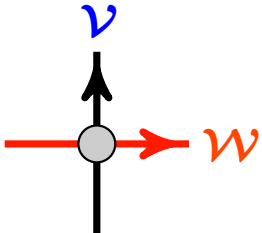
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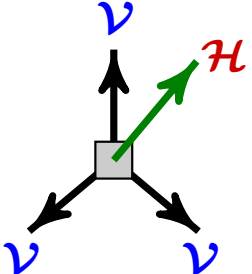
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- fusion of defect lines + concatenation of PEPS + ...

$\Rightarrow \mathcal{C}$  and  $\mathcal{D}$  are *fusion* categories

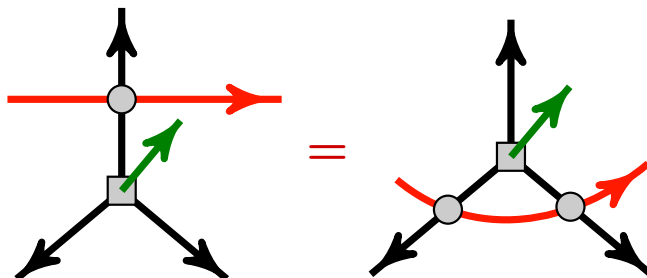
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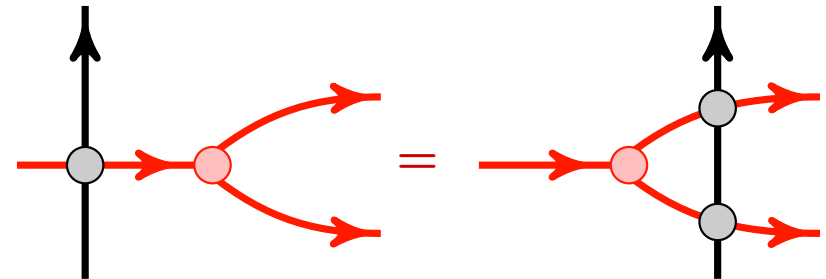
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- **compatibility conditions**:



(defect lines are *topological*)

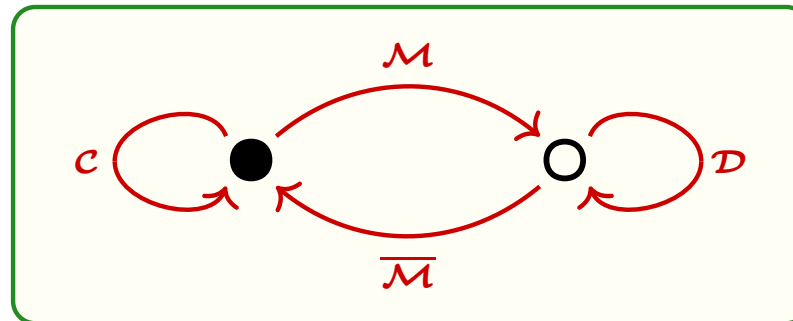


(compatibility with fusion of defects)

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pentagon identities for suitable mixed associators

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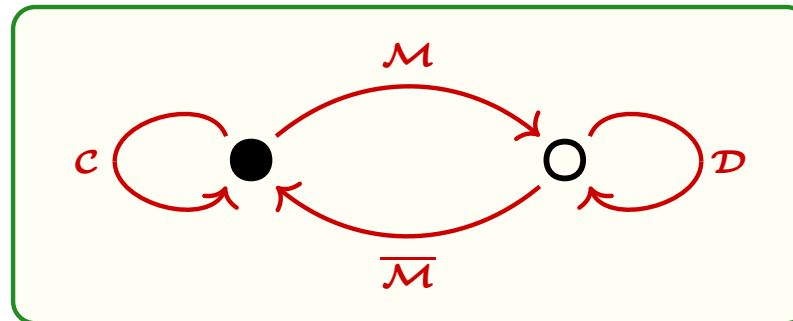
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- minimal realization:  $\mathcal{M}$  invertible bimodule

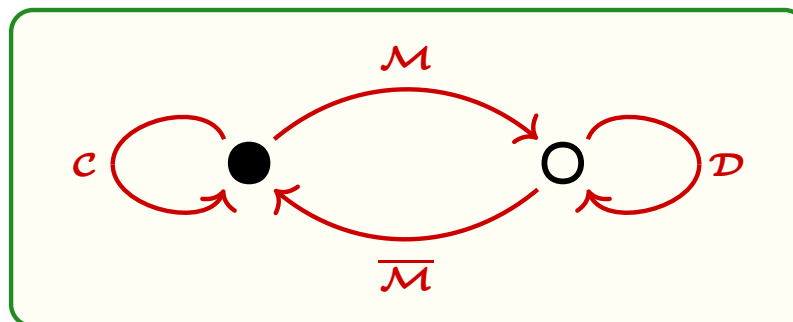
$\Rightarrow$  2-Morita context:

$$\mathcal{D} = \text{Func}_{\mathcal{C}}(\mathcal{M}, \mathcal{M}) \quad \text{and} \quad \overline{\mathcal{M}} = \text{Func}_{\mathcal{C}}(\mathcal{M}, \mathcal{C})$$



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$\Rightarrow$  2-Morita context :

$$\mathcal{D} = \text{Func}_{\mathcal{C}}(\mathcal{M}, \mathcal{M}) \quad \text{and} \quad \overline{\mathcal{M}} = \text{Func}_{\mathcal{C}}(\mathcal{M}, \mathcal{C})$$

- lattice interpretation of  $\mathcal{M}$  :

labeling the 2-cells of the two-dimensional canvas on which the MPO lives

## Lattice model

👉 lattice model on hexagonal lattice in the bicategorical setting :

● physical space  $\mathcal{H} = \bigoplus_{\alpha, \beta, \gamma \in I_{\mathcal{D}}} \text{Hom}_{\mathcal{D}}(\alpha \otimes \beta, \gamma)$

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$\Rightarrow$  6j symbols  $\longleftrightarrow$  tetrahedra

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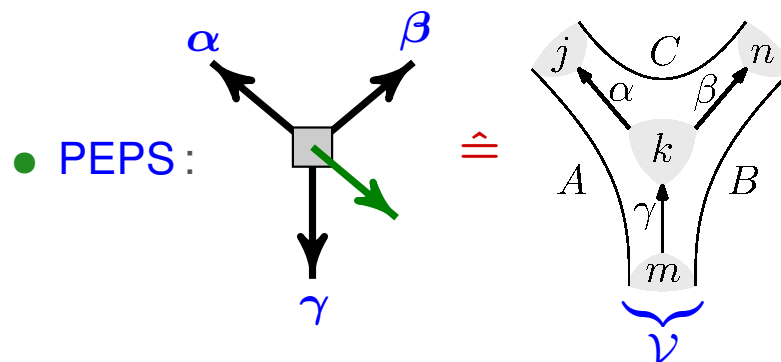
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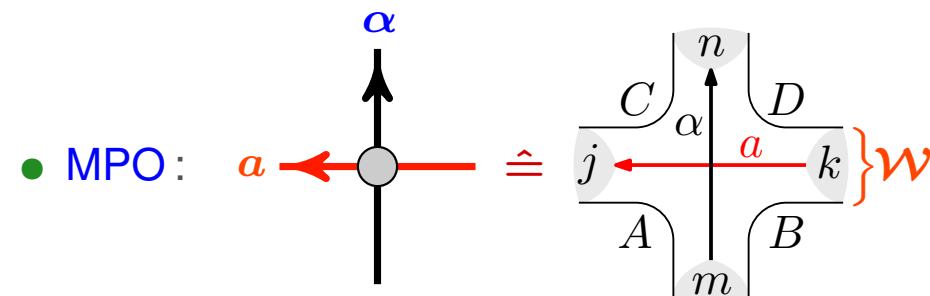
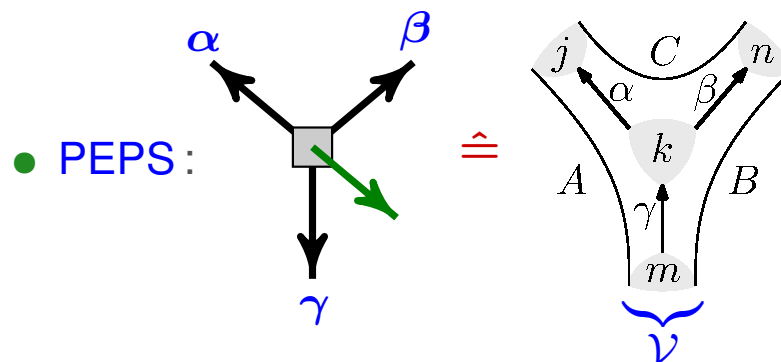
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- ${}^0\mathbf{F}$  : 6j-symbols for  $\mathcal{C}$  as monoidal category
- ${}^4\mathbf{F}$  : 6j-symbols for  $\mathcal{D}$  as monoidal category
- ${}^1\mathbf{F}$  = fusion of MPO tensors : mixed 6j-symbols for  $\mathcal{M}$  as left  $\mathcal{C}$ -module
- ${}^3\mathbf{F}$  = PEPS : mixed 6j-symbols for  $\mathcal{M}$  as right  $\mathcal{D}$ -module
- ${}^2\mathbf{F}$  = MPO : mixed 6j-symbols for  $\mathcal{M}$  as bimodule



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$\Rightarrow$  pentagon identities including e.g.

- $((a \otimes b) \triangleright A) \triangleleft \alpha \xrightarrow{\quad} \xrightarrow{\quad} \xrightarrow{\quad} a \triangleright (b \triangleright (A \triangleleft \alpha))$

- $((a \triangleright A) \triangleleft \alpha) \triangleleft \beta \xrightarrow{\quad} \xrightarrow{\quad} \xrightarrow{\quad} a \triangleright (A \triangleleft (\alpha \otimes \beta))$

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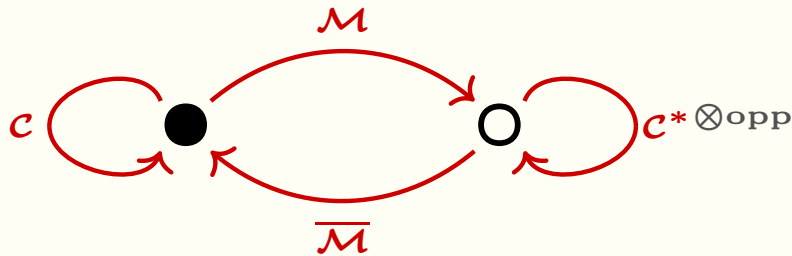
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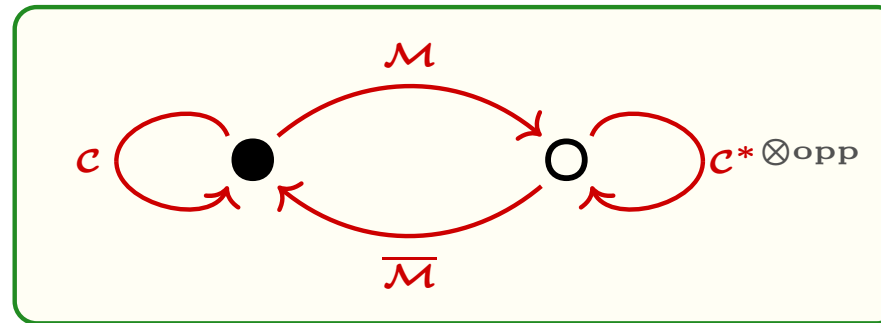
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## Lattice model – main insights

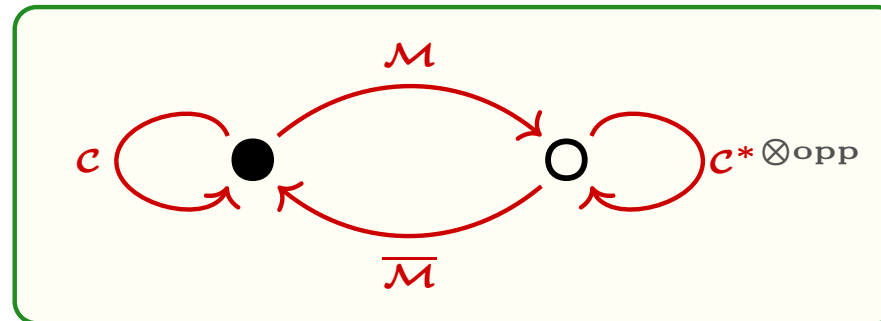


- PEPS in 2 dimensions and their MPO symmetries fit into a strong 2-Morita context



- contracting a network of PEPS and MPO tensors  
gives candidate ground state as element of a subspace of  $\mathcal{H}^{\otimes N}$
- any indecomposable pivotal  $\mathcal{C}$ -module  $\mathcal{M}$  gives a PEPS
- the PEPS for  $\mathcal{M}$  exhibits MPO symmetries given by  $\mathcal{C}^* = \mathcal{F}un_{\mathcal{C}}(\mathcal{M}, \mathcal{M})$
- different choices for  $\mathcal{M}$  amount to different ‘coordinates’ for the system  
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- different choices for  $\mathcal{M}$  amount to different ‘coordinates’ for the system and exhibit different topological symmetries
- pivotal categorical Morita equivalence relates dual descriptions :

$$\mathcal{D} = \mathcal{F}un_{\mathcal{C}}(\mathcal{M}, \mathcal{M}) \quad \mathcal{C} = \mathcal{F}un_{\mathcal{D}}(\mathcal{M}, \mathcal{M}) \quad \mathcal{Z}(\mathcal{C}) = \mathcal{Z}(\mathcal{D})$$

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- finite multi tensor categories  $\mathcal{C}$  and  $\mathcal{D}$
- bimodules  ${}_C\mathcal{M}_D$  and  ${}_D\mathcal{N}_C$
- bimodule functors  $\mathcal{M} \boxtimes_D \mathcal{N} \rightarrow \mathcal{C}$  and  $\mathcal{N} \boxtimes_C \mathcal{M} \rightarrow \mathcal{D}$
- two bimodule natural transformations filling a diagram for those functors
- pentagon identities for these natural transformations



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- composition of 1-morphisms via  $\otimes_c, \otimes_\mathcal{D}, \triangleleft_c, \triangleright_c, \triangleleft_\mathcal{D}, \triangleright_\mathcal{D}, \square_c, \square_\mathcal{D}$
- 16 associativity constraints
- 32 pentagon diagrams “commuting by construction”

Proposition :

- any exact module category  $\mathcal{M}$  over a finite tensor category  $\mathcal{C}$  gives a strong Morita context
- this admits rigid dualities :

$$m^\vee = \underline{\text{Hom}}_{\mathcal{M}}(m, -) \quad \text{internal Hom} \quad \text{for } m \in \mathcal{M}$$

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✎ double duals given by relative Serre functors :

$$m^{\vee\vee} = S_{\mathcal{M}}^r(m)$$

$${}^{\vee\vee}m = S_{\mathcal{M}}^l(m)$$

✎ pivotal structure on an exact module category  $\mathcal{M}$  over a pivotal tensor category :

natural isomorphism  $\text{id}_{\mathcal{M}} \xrightarrow{\cong} S_{\mathcal{M}}^r$

SCHAUMANN, SHIMIZU

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✎ pivotal structure on a rigid bicategory  $\mathcal{B}$  :  
pseudonatural equivalence

$$\pi : \text{id}_{\mathcal{B}} \xrightarrow{\sim} (-)^{\vee\vee}$$

✎ spherical 2-Morita context for a spherical module category  $\mathcal{M}$  over a unimodular (Radford-) spherical finite tensor category :

$\pi^2$  coinciding with another pseudonatural equivalence  $\text{id} \rightarrow (-)^{\vee\vee\vee\vee}$   
involving the relative Serre pseudofunctor  $\mathbb{S}$  on the associated bicategory  $\mathcal{B}_{\mathcal{M}}$

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- sphericity of the 2-Morita context captures preservation of sphericity under pivotal 2-Morita equivalence

## State-sum models

goal: handle on more general lattice models including defects

tool: state-sum TFTs

TURAEV-VIRO

BARRETT-WESTBURY

TURAEV-VIRELIZIER

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**T-V TFT:**

- input data : spherical fusion category  $\mathcal{D}$   
oriented three-manifold  $\mathcal{M}$  possibly with gluing boundary  $\Sigma$   
*skeleton*  $\Delta$  for  $\mathcal{M}$  (generalized triangulation,  $\Delta_0 \cap \Sigma = \emptyset$ )

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$$V_{e_+} = \text{Hom}_{\mathcal{D}}(1, d_1 \otimes \cdots \otimes d_n) \cong (V_{e_-})^*$$
  
( $d_i$  state sum variables of adjacent 2-cells)

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  - vector space  $V_{\Delta}$  by tensoring  $V_{e_{\pm}}$ 's
  - apply evaluation maps obtained from graphical calculus on spheres that surround vertices in  $\Delta_0 \setminus \Sigma$  to canonical vector in  $V_{\Delta} \otimes V_{\Delta}^*$  and sum over state sum variables
- $\Rightarrow$  TFT state space  $\text{tft}_{\mathcal{D}}(\Sigma)$  independent of the choice of  $\Delta$

special case: three-manifold  $M = M_\Sigma := \Sigma \times [0, 1]$  with

- gluing boundary  $\Sigma \times \{1\}$  with state space  $\text{tft}_\mathcal{D}(\Sigma) =: \mathcal{H}_\Sigma^0$
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i.e.  $\mathcal{M}$  a  $\mathcal{D}$ -module category

KITAEV-KONG

$\mathcal{F}$ -SCHWEIGERT-VALENTINO



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main idea :

- consider the image of  $1 \in \mathbb{C} = \text{tft}_\mathcal{D}(\emptyset)$   
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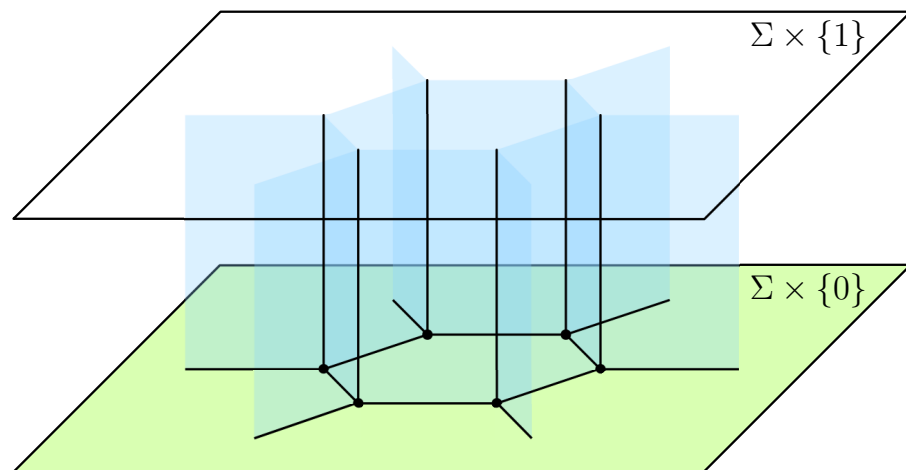
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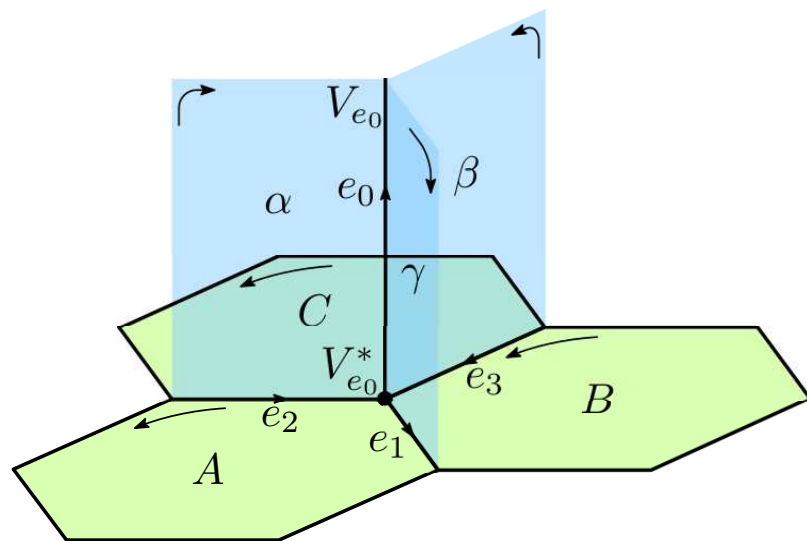
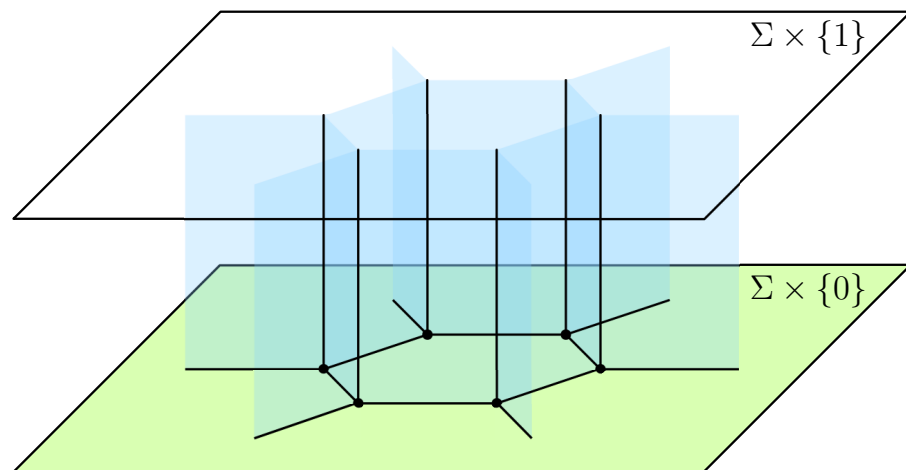
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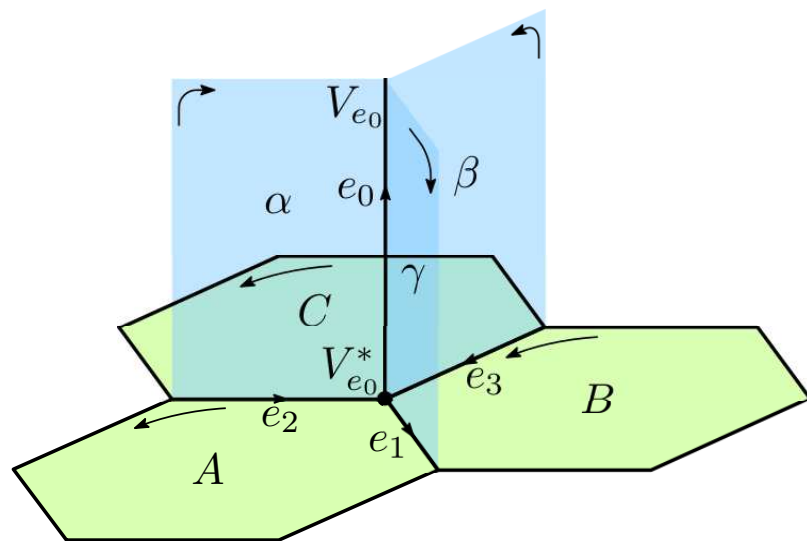
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for choice of hexagonal lattice on  $\Sigma$ :

- state sum variables :  
 $\alpha \in \mathcal{D}$  for faces in the interior of  $M_\Sigma$   
 $A \in \mathcal{M}$  for faces on  $\Sigma \times \{0\}$
- vector spaces of invariants :  
 Hom spaces in  $\mathcal{D}$  for edges in the interior  
 Hom spaces in  $\mathcal{M}$  for edges on  $\Sigma \times \{0\}$



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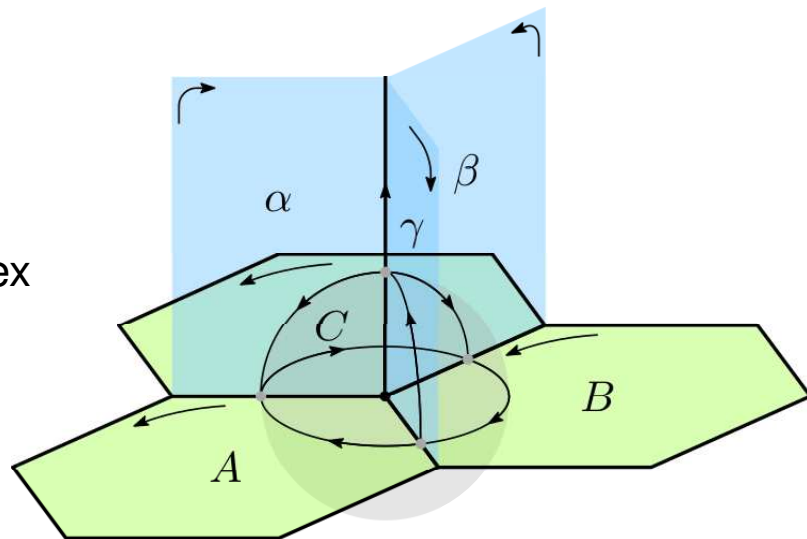
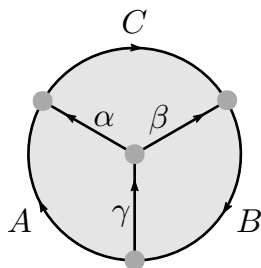
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for choice of **hexagonal lattice** on  $\Sigma$ :

- state sum variables
- vector spaces of invariants
- graphical calculus on sphere around the vertex

⇒ 6j-symbol



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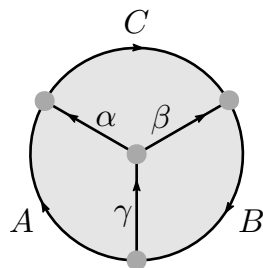
main idea :

- consider the image of  $1 \in \mathbb{C} = \text{tft}_\mathcal{D}(\emptyset)$   
under  $\text{tft}_\mathcal{D}(M_\Sigma): \mathbb{C} \rightarrow \text{tft}_\mathcal{D}(\Sigma)$
- interpret this vector in  $\text{tft}_\mathcal{D}(\Sigma)$  as a state described by a PEPS tensor

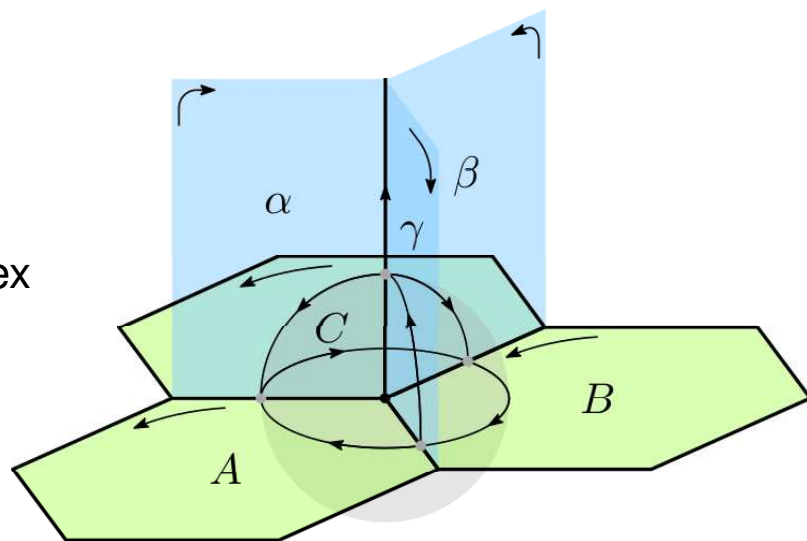
for choice of hexagonal lattice on  $\Sigma$ :

- state sum variables
- vector spaces of invariants
- graphical calculus on sphere around the vertex

$\Rightarrow$  6j-symbol



$= \text{PEPS}_{\mathcal{D}, \mathcal{M}}$



special case: three-manifold  $M = M_\Sigma := \Sigma \times [0, 1]$  with

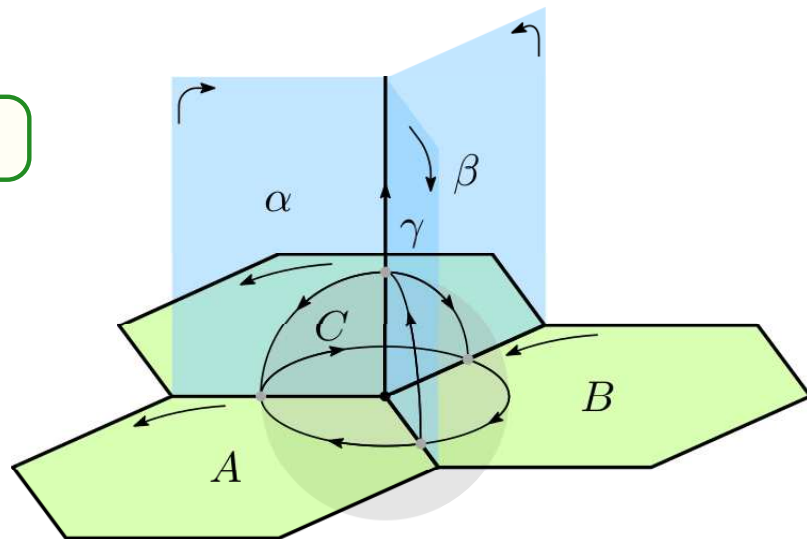
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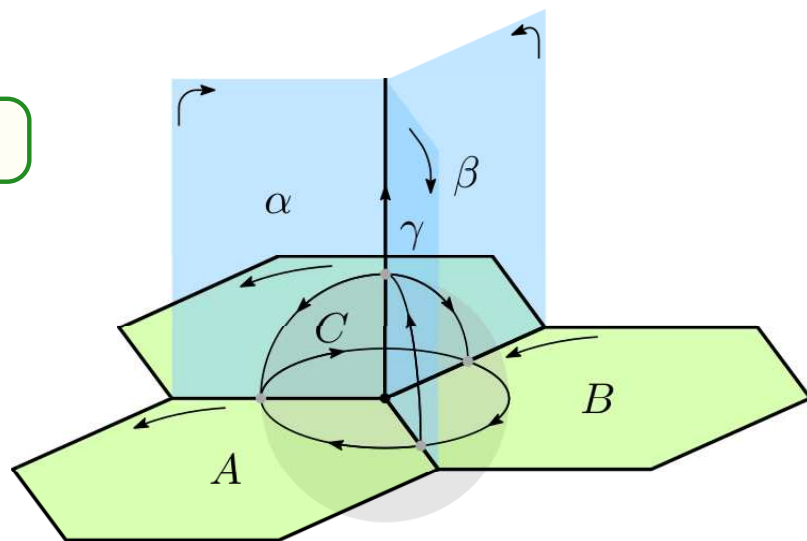
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state-sum TFT provides  
a holographic description of PEPS  
that is independent of lattices





## further issues :

- inclusion of MPO symmetries :  
insert defect lines on the physical boundary (‘boundary Wilson lines’)  
labeled by objects in  $\mathcal{F}un_{\mathcal{D}}(\mathcal{M}, \mathcal{M}) = \mathcal{D}^* =: \mathcal{C}$

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- can be analyzed numerically after obtaining 6j-symbols by solving pentagons
- case  $\mathcal{M} \simeq \mathcal{A}\text{-mod}$  for  $\mathcal{A}$  pointed considered earlier

LOOTENS .....

LUO-LAKE-WU

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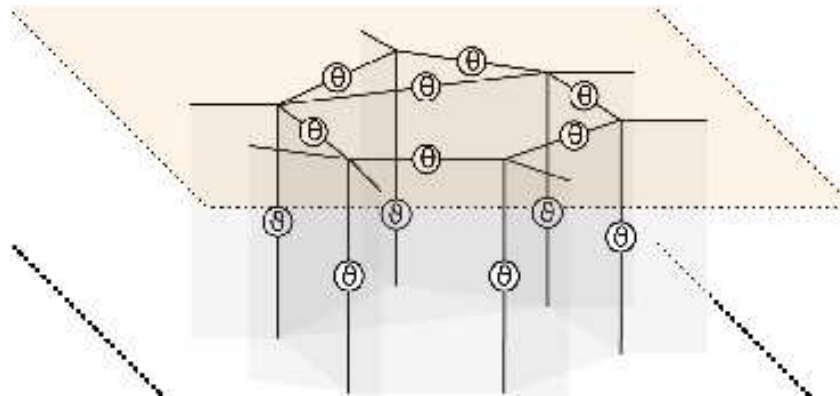
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LOOTENS .....

### generalizations :

- include non-trivial dynamics by sandwiching with additional physical boundary

e.g for generalized  
Ising models



DELCAMP-ISHTIAQUE

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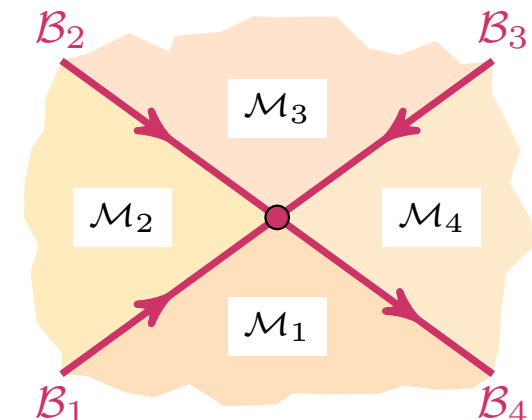
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# Outlook

### messages :

- the mathematical structure of topological symmetries for PEPS is bicategorical
- T-V state-sum models with boundaries and defects are extremely useful
- tensor network techniques can provide a computational handle on bicategorical structures



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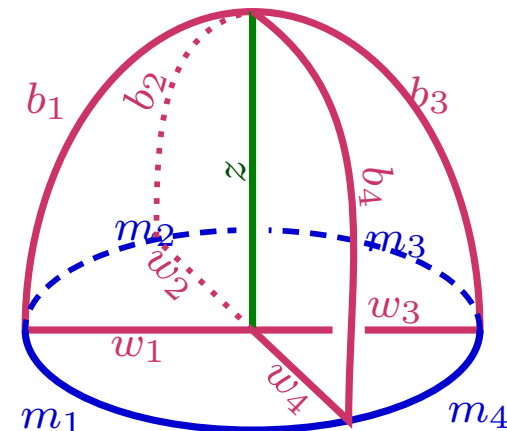
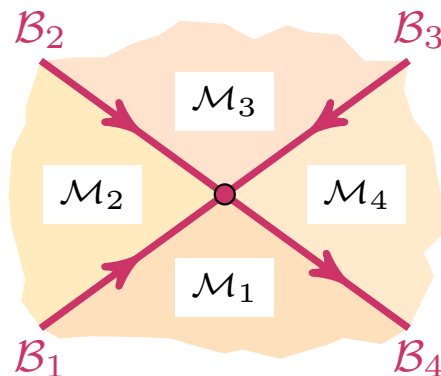
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### work in progress :

- analyze general PEPS / MPO / anyons

important tool : calculus of *extruded graphs*

FARNSTEINER-SCHWEIGERT



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### further directions :

- non-semisimple models  
( results for pivotal bicategories obtained in framework of finite tensor categories )
- non-rigid dualities
- higher dimensions ( higher fusion categories )



THANK YOU